

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-19 12:36:24
2. **Agency:** 015
3. **Bureau:** 45
4. **Name of this Investment:** Criminal Investigation Management Information System (CIMIS)
5. **Unique Project (Investment) Identifier:** 015-45-01-13-01-2469-00
6. **What kind of investment will this be in FY 2011?:** Mixed Life Cycle
  - Planning
  - Full Acquisition
  - Operations and Maintenance
  - Mixed Life Cycle
  - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB? \***
8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The Criminal Investigation Management Information System (CIMIS) is a management information system for tracking the status and progress of Criminal Investigation (CI) investigations, time expended by CI employees, employee information, and the inventory of IRS CI investigative equipment. CIMIS is currently a mixed life-cycle project including both DME and O&M activities. Capabilities include direct data entry from the field, real time query, and report features. Data from this application is also exported to other IRS CI applications. The management reports provide special reporting capabilities required for enforcement activities, e.g. search warrants and seizures. CIMIS' objective is to provide the vehicle to collect, compile and deliver accurate real time information to all levels of Criminal Investigation management and internal/external stakeholders. Much of the information collected is required by congressional mandate, Treasury Regulations, Office of Management and Budget (OMB) requirements, and IRS Directives. CIMIS addresses current deficiencies by using a flexible architecture built on modernized hardware and software platforms. By complying with the IRS Enterprise Architecture requirements, the application will be able to take advantage of the benefits of the Enterprise Infrastructure.

  - a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**
9. **Did the Agency's Executive/Investment Committee approve this request? \***
  - a. **If "yes," what was the date of this approval? \***
10. **Contact information of Program/Project Manager?**
  - **Name:** \*
  - **Phone Number:** \*
  - **Email:** \*
11. **What project management qualifications does the Project Manager have? (per FAC-P/PM)? \***

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

**12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):**

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

**a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): \***

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

<b>Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES</b> <b>(REPORTED IN MILLIONS)</b> (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

\*

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
TIRNO06D00026 T.O.0009	CPFF: Cost Plus Fixed Fee	Y	2006-05-31	2006-05-31	2011-05-30	\$24.0	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

\*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? \*

a.If "yes," what is the date? \*

## Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2008	Management and Organizational Excellence	*	*	Reduce average cycle time in elapsed days by 1% in current fiscal year on legal and illegal investigations of a quarterly basis, assuming level staffing.	454	449	399.77 as of end of 4th Quarter
2008	Management and Organizational Excellence	*	*	Percent that system availability is 100% of the time during normal business hours (6AM to 8PM EST), improves by 1%	88	89	92 as of end of 4th Quarter
2008	Management and Organizational Excellence	*	*	Percent of Investigations' Status Updates done within five calendar days increases by 2% of Baseline.	89	91	91.5 as of end of 4th Quarter
2008	Management and Organizational Excellence	*	*	Reduce overall cost of CIMIS O&M by streamlining system functionality, lowering the number of Govt FTEs performing O&M to 2 in years FY10-FY14.	7	0739-06-01	3 as of end of 4th Quarter
2009	Management and Organizational Excellence	*	*	Reduce average cycle time in elapsed days by 1% in current fiscal year on legal and illegal investigations of a quarterly basis, assuming level staffing.	0449-04-01	445	400.58 as of 4th qtr FY09
2009	Management and Organizational Excellence	*	*	Percent that system availability is 100% of the time during normal business hours (6AM to 8PM EST), improves by 1%	90 as of 3rd Quarter of 2008	91	91 as of 4th qtr FY09
2009	Management	*	*	Percent of	91	93	92.3 as of 4th

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	and Organizational Excellence			Investigations' Status Updates done within five calendar days increases by 2% annually of the 2008 Baseline.			qtr FY09
2009	Management and Organizational Excellence	*	*	Reduce overall cost of CIMIS O&M by streamlining system functionality, lowering the number of Govt FTEs performing O&M to 2 in years FY10-FY14.	0739-06-01	3	4 as of 4th qtr FY09
2010	Management and Organizational Excellence	*	*	Reduce average cycle time in elapsed days by 2% in current fiscal year on legal and illegal investigations of a quarterly basis, assuming level staffing.	360	353	
2010	Management and Organizational Excellence	*	*	Percent that system availability is 100% of the time during normal business hours (6AM to 8PM EST), improves by 1%	90	92	
2010	Management and Organizational Excellence	*	*	Percent of Investigations' Status Updates done within five calendar days increases by 2% annually of the 2008 Baseline.	93	95	
2010	Management and Organizational Excellence	*	*	Reduce overall cost of CIMIS O&M by streamlining system functionality, lowering the number of Govt FTEs performing O&M to 2 in years FY10-FY14.	2010-04-05	2.0	
2011	Management and	*	*	Reduce average cycle	353	350	

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Organizational Excellence			time in elapsed days by 1% in current fiscal year on legal and illegal investigations of a quarterly basis, assuming level staffing.			
2011	Management and Organizational Excellence	*	*	Percent that system availability is 100% of the time during normal business hours (6AM to 8PM EST), improves by 1%	90	93	
2011	Management and Organizational Excellence	*	*	Percent of Investigations' Status Updates done within five calendar days will maintain a 95% timeliness rating starting in 2011.	91	95	
2011	Management and Organizational Excellence	*	*	Reduce overall cost of CIMIS O&M by streamlining system functionality, lowering the number of Govt FTEs performing O&M to 2 in years FY10-FY14.	2	2.0	
2012	Management and Organizational Excellence	*	*	Reduce average cycle time in elapsed days by 1% in current fiscal year on legal and illegal investigations of a quarterly basis, assuming level staffing.	350	346	
2012	Management and Organizational Excellence	*	*	Percent that system availability is 100% of the time during normal business hours (6AM to 8PM EST), improves by 1%	90	94	
2012	Management and Organizational Excellence	*	*	Percent of Investigations' Status Updates done within five calendar days	91	95	

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				will maintain a 95% timeliness rating starting in 2011.			
2012	Management and Organizational Excellence	*	*	Reduce overall cost of CIMIS O&M by streamlining system functionality, lowering the number of Govt FTEs performing O&M to 2 in years FY10-FY14.	2	2.0	
2007	Management and Organizational Excellence	*	*	Average cycle time on legal and illegal investigations on a quarterly basis.	458.6 average elapsed days	454	411



## Part II: Planning, Acquisition And Performance Information

### Section A: Cost and Schedule Performance (All Capital Assets)

#### 1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline

Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY07 2.03 O&M – INV017A+Reports, Defect & Requirements Corrections	\$3.4	\$3.5	2006-10-01	2006-10-01	2007-09-30	2007-09-28	100.00%	100.00%
FY07 R2.1 MS4a -Modify Seizure Investigative Activity (SIA) activities; add eTIPS extract)	\$1.9	\$2.0	2006-10-01	2006-10-01	2007-04-30	2007-03-02	100.00%	100.00%
FY07 R2.1MS4b - Modify Seizure Investigative Activity (SIA) activities; add eTIPS extract	\$1.0	\$1.0	2007-05-01	2007-03-05	2007-09-30	2007-09-28	100.00%	100.00%
FY08 R2.3 & R2.4 Continued Operations & Maintenance-Diary Utility Upgrade;Infrustructure Update	\$2.8	\$2.7	2007-10-01	2007-10-31	2008-09-30	2008-09-30	100.00%	100.00%
FY07 R2.2 MS2/3 Reorganization Utility(a mechanism for specified CI users to script and modify CIMIS data to handle periodic organizational heirarchy changes).	\$0.4	\$0.4	2007-06-01	2007-06-01	2007-08-31	2007-08-31	100.00%	100.00%
FY08 2.2 MS4a - Reorganization Utility(a mechanism for specified CI users to script and modify CIMIS data to handle periodic organizational heirarchy changes).	\$1.9	\$1.9	2007-10-01	2007-10-01	2008-04-30	2008-04-30	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY08 2.2 MS4b - Reorganization Utility(a mechanism for specified CI users to script and modify CIMIS data to handle periodic organizational heirarchy changes).	\$1.6	\$0.4	2008-05-01	2008-05-01	2008-09-30	2008-07-11	100.00%	100.00%
FY09 R2.1.1 Continued Operations & Maintenance - upgrades to Seizure Investigative Activity (SIA) Warrant activities; upgrades to Case Load Review activities	\$2.8	\$3.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY10 R2.1.2 Continued Operations & Maintenance	\$3.0	\$2.0	2009-10-01	2009-10-01	2010-09-30		66.60%	66.70%
FY11 Continued Operations & Maintenance	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY12 Continued Operations & Maintenance	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY13 Continued Operations & Maintenance	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY14 Continued Operations & Maintenance	*	*	2013-10-01		2014-09-30		0.00%	0.00%
FY15 Continued Operations & Maintenance	*	*	2014-10-01		2015-09-30		0.00%	0.00%
FY16 Continued Operations & Maintenance	*	*	2015-10-01		2016-09-30		0.00%	0.00%
FY17 Continued Operations & Maintenance	*	*	2016-10-01		2017-09-30		0.00%	0.00%
FY09 Organizational	\$0.5	\$0.5	2008-10-01	2008-10-01	2009-09-30	2009-08-31	95.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
/Legislative Changes— e.g. changes in security regulations; changes in IRMs.								
FY10 Organizational /Legislative Changes— e.g. changes in security regulations; changes in IRMs.	*	*	2009-10-01		2010-09-30		0.00%	0.00%
FY11 Organizational /Legislative Changes— e.g. changes in security regulations; changes in IRMs.	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY12 Organizational /Legislative Changes— e.g. changes in security regulations; changes in IRMs.	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY13 Organizational /Legislative Changes— e.g. changes in security regulations; changes in IRMs.	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY14 Organizational /Legislative Changes— e.g. changes in security regulations; changes in IRMs.	*	*	2013-10-01		2014-09-30		0.00%	0.00%
FY15 Organizational /Legislative Changes— e.g. changes in security regulations; changes in IRMs.	*	*	2014-10-01		2015-09-30		0.00%	0.00%
FY16 Organizational /Legislative	*	*	2015-10-01		2016-09-30		0.00%	0.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Changes—e.g. changes in security regulations; changes in IRMs.								
FY17 Organizational /Legislative Changes—e.g. changes in security regulations; changes in IRMs.	*	*	2016-10-01		2017-09-30		0.00%	0.00%

\* - Indicates data is redacted.